

01263.001881.

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

PHILIP NEIL GARNER, ET AL,

Appl. No. : 09/695,077

Filing Date: October 25, 2000

For: LANGUAGE RECOGNITION  
USING A SIMILARITY MEASURE

Examiner: Talivaldis Ivars Smits

Group Art Unit: 2654

October 1, 2003

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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Technology Center 2600

INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed below on the enclosed Form PTO-1449. Copies of the listed documents are also enclosed.

- (1) U.S. Patent No. 6,567,816
- (2) U.S. Patent No. 6,487,532
- (3) U.S. Patent No. 5,852,822
- (4) U.S. Patent No. 5,680,605
- (5) U.S. Patent No. 5,675,706
- (6) U.S. Patent No. 5,594,641
- (7) U.S. Patent No. 5,202,952
- (8) U.S. Patent No. 4,227,176
- (9) Okawa, S. et al., "Automatic Training of Phoneme Dictionary Based on Mutual Information Criterion", *IEEE* (1994), pp. I-241-I-244.
- (10) Rahim, M. et al., "A Neural Tree Network for Phoneme Classification With Experiments on the Timit Database", *IEEE* (1992), pp. II-345-II-348.

10/08/2003 HPARZ11 00000002 09595077 180.00 OP  
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(11) Sankoff, D., et al, "Time Warps, String Edits, And Macromolecules: The Theory And Practice Of Sequence Comparison", Bell Laboratories and David Sankoff (1983), Ch. 11, pp. 311-321.

(12) Wang, H., "Retrieval of Mandarin Spoken Documents Based on Syllable Lattice Matching", *Pattern Recognition Letters* (June 2000), 21, pp. 615-624.

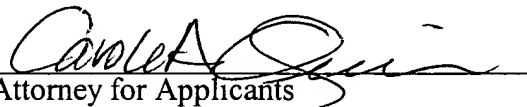
Document (11) was previously cited in Information Disclosure Statements dated September 11, 2003 and September 7, 2001. Copies of pages 1 to 44, pages 211 to 214, 311 to 319 and 359 to 362 of this document were provided in the September 11, 2003 Information Disclosure Statement. However, pages 320 to 321 of Chapter 11, of which pages 311 to 319 are also a part, were inadvertently not provided. A complete copy of pages 311 to 321 of Chapter 11 is included in this Information Disclosure Statement. The Form PTO-1449 attached hereto lists all of the pages cited herein and in the September 11, 2003 Information Disclosure Statement.

The subject application has received an Office Action on the merits but has not yet received either a final action or a Notice Of Allowance. Accordingly, enclosed is a check for the required fee of \$180.00 to cover the Information Disclosure Statement under 37 C.F.R. 1.97(c)(2). Consideration of the art cited herein is accordingly deemed proper, and such action is respectfully requested.

Accordingly, the Examiner is urged to study this information in its entirety and to form an independent determination of the materiality of the information to the claimed invention. Additionally, the Examiner is requested to indicate that this information has been considered by initialing the appropriate portion of Form PTO-1449.

Applicants' undersigned attorney may be reached in our Costa Mesa,  
California office by telephone at (714) 540-8700. All correspondence should continue to  
be directed to our address given below.

Respectfully submitted,

  
Attorney for Applicants

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